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CONFIRMATION NO. 5423

SERIAL NUMBER 09/995,178	FILING or 371(c) DATE 11/27/2001 RULE	CLASS 705	GROUP ART UNIT 3692	ATTORNEY DOCKET NO. P-M B-01	
APPLICANTS Michael D. Bergmann, Bow Mar, CO; Daniel Yoo, Aurora, CO; ** CONTINUING DATA ***** This appln claims benefit of 60/253,918 11/29/2000 ** FOREIGN APPLICATIONS ***** ** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** ** SMALL ENTITY ** 12/17/2001					
Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Verified and Acknowledged <u>/HARISH T DASS/</u> Examiner's Signature	<input checked="" type="checkbox"/> Met after Allowance <u>/HTD/</u> Initials	STATE OR COUNTRY CO	SHEETS DRAWINGS 3	TOTAL CLAIMS 8 5	INDEPENDENT CLAIMS 2 8
ADDRESS GERGORY W. O'CONNOR 4701 HOMESTEAD LITTLETON, CO 80123 UNITED STATES					
TITLE Method of ascertaining an efficient frontier for tax-sensitive investors					
FILING FEE RECEIVED 370	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		